

# 1. Basics of Graph Theory

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In this first lesson, we give basic definitions of graph theory. Keywords: undirected graphs, directed graphs, paths, connectivity, connected components, adjacency matrix, adjacency lists, regular graphs, bipartite graphs, planar graphs.

## 1.1 Basic definitions

### 1.1.1 Undirected graphs

An **undirected graph**  $G = (V, E)$  (or simply a graph) is made of a set of **vertices**  $V$  (also called nodes) and a set of **edges**  $E$  connecting these vertices (also called links). Each edge is defined as a *pair* of vertices. For example, the following graph corresponds to  $V = \{a, b, c, d\}$  and  $E = \{\{a, b\}, \{b, c\}, \{a, c\}, \{c, d\}\}$ . We write  $n$  for  $|V|$  and  $m$  for  $|E|$ . Here,  $n = 4$  and  $m = 4$ .

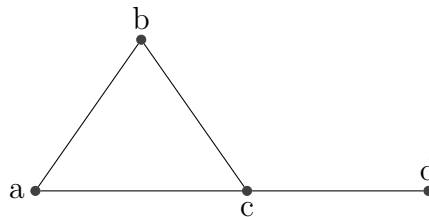


Figure 1: A graph  $G_1$ .

In general, a graph may also have **loops** (edge from a vertex to itself), or **multiple edges** (several edges between the same pair of vertices). Unless otherwise mentioned, we will consider only **simple graphs**, i.e. graphs without loops nor multiple edges, like  $G_1$ . The rest of the definitions below consider simple graphs.

The **degree** of a vertex  $u$ , noted  $d(u)$  is the number of edges incident to  $u$ , namely  $d(v) = |\{e \in E \mid v \in e\}|$ . Here,  $d(a) = 2$  and  $d(c) = 3$ . The **neighbors** of  $u$  are all the vertices that share an edge with  $u$ . Thus, the number of neighbors of a vertex corresponds to its degree. If we have an edge  $e = \{u, v\}$ , then  $u$  and  $v$  are the **endpoints** of  $e$ .

A **walk** from  $u$  to  $v$  is a sequence of vertices  $u = u_1, u_2, \dots, u_k = v$  such that for all  $i < k$ ,  $\{u_i, u_{i+1}\} \in E$ . For example,  $(a, b, c, d)$  is a walk in  $G_1$ , and so is  $(a, c, b, a, c, d)$ . A **path** is a walk that does not repeat any intermediate vertex. A path from a vertex to itself is called a **cycle**, for example  $(a, b, c, a)$ .

A graph is **connected** if a path exists between every pair of vertices. Otherwise, it can be partitioned into a set of **connected components**. A graph is **complete** if an edge exists between every pair of vertices. Connectivity and completeness should not be mistaken; for example,  $G_1$  is connected, but is not complete.

Finally, the **length** of a walk/path/cycle is the number of *edges* it uses. The **distance** between two vertices is the length of the shortest path between them ( $\infty$  if no path exists), and the **diameter** of the graph is the largest distance over all pairs of vertices ( $G_1$  has diameter 2, which is the distance between  $a$  and  $d$ , or the distance between  $b$  and  $d$ ).

In this class, whenever we use the term “graph” without adjective, we mean a simple and undirected graph.

### 1.1.2 Directed graphs

A **directed graph**  $G = (V, A)$  (also called a digraph) is made of a set of vertices  $V$  and a set of **arcs** (or directed edges), where each arc is defined by an *ordered pair* of vertices. For example, the graph on fig. 2 corresponds to  $V = \{a, b, c, d\}$  and  $A = \{(b, a), (a, c), (b, c), (c, b), (c, d)\}$ .

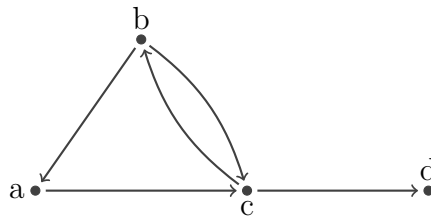


Figure 2: A directed graph  $G_2$ .

Simple digraphs are defined analogously to simple graphs (no loop nor multiple arcs). Two arcs with different directions are not the same, so a simple digraph can have such arcs, like in  $G_2$  between  $b$  and  $c$ .

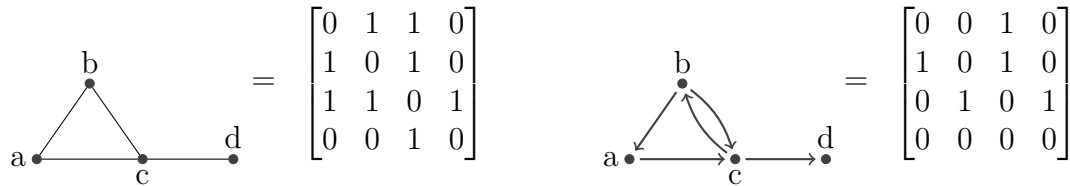
The **in-degree** of a vertex  $u$ , noted  $d^-(u)$  is the number of incoming arcs to  $u$ . Its **out-degree**  $d^+(u)$  is the number of outgoing arcs from  $u$ . For example, in  $G_2$ , we have  $d^-(b) = 1$  and  $d^+(b) = 2$ . **In-neighbors** and **out-neighbors** are defined analogously. They are also called **predecessors** and **successors**.

A **path** from  $u$  to  $v$  in a digraph is defined in the same way as in undirected graph, except that it must satisfy  $(u_i, u_{i+1}) \in A$ , direction matters! In digraphs, the reachability relation is not symmetric, e.g. in  $G_2$ , a path exists from  $a$  to  $d$ , but not from  $d$  to  $a$ .

A digraph is **strongly connected** if there exists a path from every vertex to every other vertex. It is **weakly connected** if a path would exist if we ignored the direction of the arcs. For example,  $G_2$  is weakly connected, but it is not strongly connected.

### 1.1.3 Data structures

Graphs can be represented in various ways. A common one is as an **adjacency matrix**, which is a  $n \times n$  matrix that encodes the edges (resp. non-edges) as 1 (resp. 0).



Another common data structure for graphs is an **adjacency lists**, which consists of a list of neighbors for each node. Adjacency lists are more *space* efficient than adjacency matrices, especially in sparse graphs (graphs with few edges), because the non-edges are not explicitly stored. However, adjacency matrices are faster because their values can be accessed directly. They are also convenient for algebraic manipulation, for example, if  $M$  is the adjacency matrix of some graph, then  $M^k$  represents something specific about the graph (to be discussed in exercises); the eigenvalues of  $M$  also give information about how the graph is organized. Most graph libraries (like `networkx` in Python) rely on adjacency lists. We will often use higher level primitives that mask the underlying data structure, discussing the cost when relevant.

### 1.1.4 Some classes of graphs

Here are specific classes of graphs that are well known (illustrated in Figure 3):

- Regular graph: all vertices have the same degree.
- Complete graph: all pairs of vertices share an edge.
- Cycle graph: consists of a single cycle.
- Tree: contains no cycles and is connected.
- Bipartite graph:  $V$  can be split in two parts, such that all the edges are between these parts.
- Planar graph: can be drawn on the plane without crossing edges.
- Directed acyclic graph (DAG): directed graph that contains no cycle

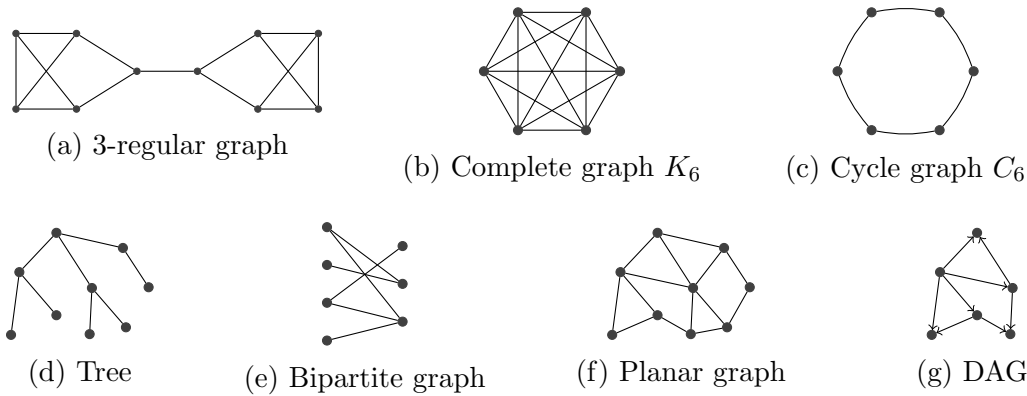


Figure 3: Some common classes of graphs.

## 2 Basic lemmas

Graph theory is often about proving properties. Let us start with a few basic properties. Namely, (1) in a graph, the sum of all degrees is always even; (2) in a digraph the sum of in-degrees is always equal to the sum of out-degrees; and (3) in a graph, there is at least two nodes that have the same degree.

**Lemma 2.1** (“Handshaking”). *For all graphs  $G = (V, E)$ ,  $\sum_{v \in V} d(v)$  is even.*

*Proof.* Every edge increases the sum by 2 (1 on each side). □

**Lemma 2.2** (“Footkicking”). *For all digraphs  $G = (V, A)$ ,  $\sum_{v \in V} d^-(v) = \sum_{v \in V} d^+(v)$ .*

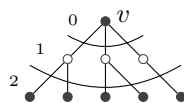
*Proof.* Every arc increases both sums by 1. □

**Lemma 2.3** (Same degree). *Let  $G$  be a graph. At least two nodes of  $G$  have the same degree.*

*Proof.* (By contradiction) The smallest possible degree is 0 and the largest possible degree is  $n - 1$ , so there are  $n$  possible values. If all the nodes have different degrees, then each value must be used. In particular, one node must have degree 0 (isolated node) and one node must have degree  $n - 1$  (neighbor with all the others), which is impossible in the same graph. □

**Lemma 2.4.** *Trees are bipartite graphs.*

*Proof.* Let  $G = (V, E)$  be a tree. We will split  $V$  into  $V_1$  and  $V_2$  such that all the edges are between  $V_1$  and  $V_2$ . For this, choose an arbitrary node  $v \in V$ . Put all nodes whose distance to  $v$  is even into  $V_1$  and the others into  $V_2$  (see the picture). Because  $G$  is a tree, the distance from the endpoints of any edge to  $v$  must differ by one, thus one is in  $V_1$ , the other in  $V_2$ .



□